

Health Regulation & Licensing Administration

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| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:<br><br><b>ALR-0030</b> | (X2) MULTIPLE CONSTRUCTION<br>A. BUILDING: _____<br><br>B. WING _____ | (X3) DATE SURVEY COMPLETED<br><br><b>07/08/2014</b> |
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| NAME OF PROVIDER OR SUPPLIER<br><br><b>SUNRISE ASSISTED LIVING ON CONNECTICU</b> | STREET ADDRESS, CITY, STATE, ZIP CODE<br><b>5111 CONNECTICUT AVE NW<br/>WASHINGTON, DC 20008</b> |
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| R 000              | <p><b>Initial Comments</b></p> <p>An annual survey was conducted from June 26, 2014, through July 8, 2014, to determine compliance with Assisted Living Law " DC Code § 44-101.01. " The Assisted Living Residence (ALR) provides care for one hundred- thirteen (113) residents and employees one hundred- sixty (160) employees to include professional and administrative staff.</p> <p>Please Note: Listed below are abbreviations used in this report.</p> <p>Assisted Living Residence (ALR)<br/>Executive Director (ED)<br/>Health Care Coordinator (HCC)<br/>Individualized Service Plan (ISP)<br/>Interdisciplinary Team (IDT)</p>   | R 000         | <p>Department of Health<br/>Health Regulation &amp; Licensing Administration<br/>Intermediate Care Facilities Division<br/>899 North Capitol St., N.E.<br/>Washington, D.C. 20002</p> <p><b>RECEIVED JUL 30 2014</b></p>  |                                   |
| R 473              | <p><b>Sec. 604a3 Individualized Service Plans</b></p> <p>(3) The ISP shall be written by a healthcare practitioner using information from the assessment. Based on record review and interview, the ALR failed to have an ISP written by a healthcare practitioner for one (1) of thirteen (13) residents in the sample. (Resident #1)</p> <p>The finding includes:</p> <p>On June 26, 2014, at approximately 11:59 a.m., a review of Resident #1's record revealed that the pre-ISP dated April 2, 2014, failed to evidence it had been written by a healthcare practitioner.</p> <p>During a face to face interview with the HCC on June 26, 2014, at approximately 1:30 p.m., the HCC indicated that the ALR staff writes the pre-</p> | R 473         | <p>Any new admission will have an Initial, a 30 day and a 6 month Individualized Service Plan completed and signed by the Resident/Surrogate, Interdisciplinary Team, and Health Care Practitioner. A checklist has been implemented to ensure the Individualized Service Plan is completed and signed by the Health Care Practitioner to prevent deficient practice in the future.</p> | <p>7/30/14<br/>\$<br/>ongoing</p> |

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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE *Executive Director* (X6) DATE *7/30/14*

*[Signature]*

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| R 473              | Continued From page 1<br>ISP but moving forward they will have the health care practitioner to write them.   | R 473         |   |  |
| R 483              | <p>Sec. 604d Individualized Service Plans</p> <p>(d) The ISP shall be reviewed 30 days after admission and at least every 6 months thereafter. The ISP shall be updated more frequently if there is a significant change in the resident's condition. The resident and, if necessary, the surrogate shall be invited to participate in each reassessment. The review shall be conducted by an interdisciplinary team that includes the resident's healthcare practitioner, the resident, the resident's surrogate, if necessary, and the ALR.</p> <p>Based on record review and interview, it was determined that the ALR failed to ensure ISP's were reviewed by the interdisciplinary team, the healthcare practitioner, the resident, or the residents surrogate thirty (30) days after admission and at least every six (6) months or more frequently with significant changes in the residents condition for six (6) of thirteen (13) residents in the sample. (Residents #1, #2, #4, #8, #9 and #12)</p> <p>The findings include:</p> <ol style="list-style-type: none"> <li>1. On June 26, 2014, at approximately 11:59 a.m., review of Resident #1's clinical record revealed an ISP dated May 6, 2014. The ISP failed to evidence it was reviewed by a health care practitioner.</li> <li>2. On June 26, 2014, at approximately 12:15 p.m., review of Resident #2's clinical record revealed ISP's dated October 19, 2013 and May 26, 2014. The ISP's failed to evidence that they</li> </ol> | R 483         | <p>The Individualized Service Plans for Residents #1, 2, 4, 8, 9, 11, and 12 were Reviewed with the Residents/Surrogate, Interdisciplinary Team and Health Care Practitioner . During each individual review signatures were obtained for each ISP respectively.</p> <p>An audit will be completed by the Executive Director and Health Care Coordinator to Ensure all Individualized Service Plans are reviewed and signed by Resident/Surrogate, Interdisciplinary Team and Health Care Practitioner.</p> <p>Any new admission will have an Initial, a 30 day and a 6 month Individualized Service Plan signed by the Resident/Surrogate, Interdisciplinary Team, and Health Care Practitioner.</p> | <p>7/25/14</p> <p>7/30/14</p> <p>7/30/14<br/>+ ongoing</p> |

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| R 483              | <p>Continued From page 2</p> <p>were reviewed by a health care practitioner.</p> <p>3. On June 27, 2014, at approximately 10:30 a.m., review of Resident #8's clinical record revealed an ISP dated September 18, 2013. The ISP failed to evidence that it was reviewed by a healthcare practitioner.</p> <p>During an interview with the HCC on June 26, 2014, at approximately 1:30 p.m., the HCC indicated that the ISPs for Resident #2 and #8 were faxed to the residents' physician's for approval and signature.</p> <p>4. On June 26, 2014, at approximately 12:45 p.m., review of Resident #4's clinical record revealed an ISP dated March 25, 2014. the ISP failed to evidence that it was reviewed by a health care practitioner or the IDT.</p> <p>5. On June 27, 2014, at approximately 10:48 a.m., review of Resident #9's clinical record revealed an ISP dated August 2, 2013. The ISP failed to evidence it had been reviewed the IDT and/or the healthcare practitioner.</p> <p>6. On June 27, 2014, at approximately 10:45 a.m., review of Resident #11's clinical record revealed an ISP dated March 12, 2014. The ISP failed to evidence that it was reviewed by the IDT and/ or a healthcare practitioner.</p> <p>During an interview with the HCC on June 26, 2014, at approximately 2:00 p.m., the HCC stated, "I think the signed ISPs have been thinned from the records."</p> <p>7. On June 27, 2014, at approximately 10:30</p> | R 483         |   |                    |

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| R 483              | <p>Continued From page 3</p> <p>a.m., review of Resident #12's clinical record revealed an ISP dated July 23, 2013. There was no evidence that after the July 2013 ISP meeting, the IDT and/or the healthcare practitioner reviewed the ISP every six (6) months as required. Additionally, Resident #12 was observed with an ulcer on the right ankle. Further review of the ISP revealed that it was not updated to include daily ulcer care by the RN.</p> <p>Interview with the HCC on June 27, 2014, at approximately 2:30 p.m., confirmed that there was no updated ISP after July 2013 that reflected the change in the Resident #12's condition.</p>   | R 483         | <p>Resident #12 Individualized Service Plan noted to include daily ulcer care received by community RN.</p> <p>The Executive Director and the Health Care Coordinator will review all Individualized Service Plans at the monthly Quality Assurance meeting to ensure deficient practice will not recur.</p> | <p>7/30/14</p> <p>7/30/14<br/>E. Lahr<br/>quint<br/>Angie</p> |
| R 810              | <p>Sec. 904a Medication Storage</p> <p>(a) The ALA shall provide a secured space for medication storage with access to a sink and cold storage in the same area. Space for necessary medical supplies and equipment shall be provided.</p> <p>Based on observation and interview, the ALR failed to provide a secure space for medication storage with access to a sink and cold storage in the same area.</p> <p>The finding includes:</p> <p>An observation on June 26, 2014, at approximately 11:00 a.m., revealed two locked medication carts in back hallway on the third floor.</p> <p>During an interview with the ED on June 26, 2014, at approximately 11:15 a.m., the ED indicated, "The medications carts are stored in</p> | R 810         |  |   |

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| R 810              | <p>Continued From page 4<br/>the hallway."</p> <p>During a follow-up interview with the ED on July 8, 2014 at approximately 12:00 p.m., the ED indicated that the facility has plans to redesign the layout to accommodate compliance storage areas.</p> | R 810         | <p>On July 10, 2014 the facility Executive Director, Health Care Coordinator and Maintenance Coordinator identified two areas in the building to build out/remodel for secure storage and access to water source/sink, and cold storage.</p> <p>On July 24, 2014 Construction began on expansion of 2<sup>nd</sup> Floor Wellness Station and the addition of a closet on the 5<sup>th</sup> floor, both with access to water source/sink and cold storage.</p> <p>August 15, 2014 Construction completion expected and medication carts will be relocated from hallways to the approved properly secured areas with access to water source/sink and cold storage.</p> | <p>7/30 -</p> <p>8/30/14</p> |