

December 9, 2011

OFFICE OF THE DIRECTOR

Presented Testimony on Bill 19-511, the “Board of Ethics and Government Accountability Establishment and Comprehensive Ethics Reform Amendment Act of 2011” on November 30, 2011 before the Committee on Government Operations, Council of the District of Columbia

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
November 2011

LOBBYIST REGISTRATION FEES (as of 11/30/11)

- 269 Total number of New Lobbyists Registered for 2011
- 269 Registration Fees collected in the amount of \$51,960 from New Lobbyists for 2011

New Candidates and Committees registered for 2012 Elections

November 2011

- Sekou Biddle/Biddle 2012 (City Council, At-Large).....11/08/2011
- Robert Kabel/Kabel 2012(National Committeeman, Republican).....11/10/2011
- Jauhar Abraham/Abraham for Ward 8 (City Council, Ward -8).....11/14/2011
- Don Folden/Folden 4 Ward 7 (City Council, Ward-7).....11/14/2011
- Mary Brooks Beatty/Friends of Mary Brooks Beatty (City Council, At-Large.....11/14/2011
- E. Gail Anderson Holness/Holness at Large 2012 (City Council, At-Large.....11/14/2011
- Teri Galvez/Teri Galvez for National Committeewoman (Republican).....11/16/2011
- Vincent Orange/Re-elect Orange At Large 2012 (City Council, At-Large.....11/16/2011
- Peter Shapiro/Shapiro 2012 (City Council, At-Large).....11/18/2011
- Jacque Patterson/Patterson for Ward 8 (City Council, Ward-8).....11/21/2011

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:
November 2011

26 Reviews Completed

- 0 Lobbyists
- 6 PAC (Includes Amended Filings)
- 7 CSF (Includes Amended Filings)
- 0 US S/R
- 13 PCC (Includes Amended Filings)
- 0 Exploratory Committee
- 0 FDS
- 0 Initiative/Referendum
- 5 Audit Letters (RFAI) Sent
- 1 Follow-up letters sent
- 7 Cases Resolved
- 1 Cases Referred to the Office of General Counsel (Bruce Bereano, Lobbyist)
- 14 Cases Terminated
- 0 Hearings Attended
- 0 Outreach Education Seminars Attended
- 0 Outside Seminars Attended

Periodic Random Audits – Lobbyist Activity Reports – July 10, 2011 Filings

1. Bruce C. Bereano (Lobbyist)/EnerNoc, Inc, (Compensating Registrant) – The Audit staff referred this lobbyist to the OCF General Counsel on November 10, 2011.

Periodic Random Audits –Constituent Service Programs – October 1, 20011 Filings

1. **Ward 8 Constituent Service Fund** – The Final Audit Report issued on December 7, 2011.
2. **Friends of Jim Graham** – The audit fieldwork is complete. The Statement of Findings issued on December 6, 2011.

Full Field Audit – Investigative Audit

1. **Gray For Mayor** – The Preliminary Audit Report (PAR) 1ST draft was given to the OCF General Counsel on December 2, 2011 for review, and returned on December 6, 2011 for completion. The PAR was returned to the General Counsel on December 9, 2011 for approval.



**OFFICE OF CAMPAIGN FINANCE
DISTRICT OF COLUMBIA BOARD OF ELECTIONS AND ETHICS
WASHINGTON, D.C. 20009**

**2011 MONTHLY IN-TAKE/OUTPUT REPORT
OFFICE OF GENERAL COUNSEL**

	July	August	September	October	November	December	
Opinion Request Received					0		
Opinion Request Completed					1		
Complaints Received					0		
Internal Queries					0		
Preliminary Investigations Initiated					0		
Preliminary Investigations Orders Issued					0		
Full Investigations Initiated					0		
Full Investigations Orders Issued					1		
Informal Hearings Referred					1		
Informal Hearings Completed					2		
Informal Hearings Orders Issued					15*		
Petitions for Enforcement Referrals to BOEE					0		

*This figure represents 2 Failure to Timely File Orders in which no fines were imposed, 2 Failure to Timely File Orders in which \$750.00 in fines were imposed and 11 FDS Orders in which no fines were imposed.

1. Actual Matters referred	
PCC	0
PAC's	0
Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0
2. Notices of Hearings	10
First Notices	5
Second Notices	5
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3. Notices and Orders Vacated	5
4. Hearings Conducted	2
5. Orders which imposed fines	2
6. Fines imposed	\$750.00
7. Fines collected	\$250.00
8. Investigations completed Orders issued	1
9. Petitions for Enforcement	0



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INTERPRETATIVE OPINIONS

December 9, 2011

#11-04 Requestor: Councilmember Phil Mendelson
Submitted: October 21, 2011
Issue: Reimbursement from Constituent Services Fund
Status: Issued November 10, 2011

(All Interpretative Opinions are on-line.)



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LIST OF OPEN OCF INVESTIGATIONS

December 2, 2011

- OCF FI 2011-105(a) & (b) Complainant: Bob Kabel initiated March 22, 2011
Respondents: Harry Thomas, Jr. and Victoria Leonard- Chambers
Councilmember for Ward 5
Infraction: Conflict of Interest
Status: Final disposition delayed due to the unavailability of witnesses who are cooperating with another investigative agency.
- OCF FI 2011-103 Complainant: Internally Generated March 15, 2011
Respondent: Sulaimon Brown for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: This matter was referred to the Audit Division for a Full Field Audit; upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.
- OCF FI 2011-104 Complainant: Internally Generated March 15, 2011
Respondent: Gray for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: This matter was referred to the Audit Division for a Full Field Audit; The Preliminary Audit has been completed and upon completion and issuance of the Final Audit Report, OCF will determine whether an investigation is warranted.
- OCF PI 2011-101 Complainant: Michael Sindram initiated September 6, 2011
Respondent: ANC 4A Commissioner Dwayne Toliver
Infraction: Conflict of Interest
Status: Order issued December 6, 2011

List of Open Investigations
Page Two

OCF PI 2011-102	Complainant: Bob Kabel initiated September 6, 2011 Respondents: Constituent Services Funds for 10 Councilmembers Infraction: Misuse of CSP funds Status: Investigation completed, Order pending
OCF PI 2011-103	Complainant: ANC 5B04 Commissioner Vaughn Bennett Initiated September 6, 2011 Respondent: ANC 5B Chairperson Regina James Infraction: Use of government resources for personal and campaign purposes Status: Order issued December 8, 2011



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SHOW CAUSE PROCEEDINGS

December 9, 2011

OCF 11C-006

Complainant: Internally Generated
Respondent: Friends of Calvin Gurley
Infraction: Failure to comply with disclosure and reporting requirements
Originated: September 30, 2011
Status: Hearing completed, Order pending

OCF 11C-008

Complainant: Dorothy Brizill
Respondent: Biddle 2012
Infraction: Failure to comply with registration requirements
Originated: November 12, 2011
Status: Hearing completed, Order pending
