

899 NORTH CAPITOL ST. NE – 2NDFLOOR. **WASHINGTON, DC 20002**

MARCH 21, 2016

9:00 a.m. -12:00 p.m.

MEETING AGENDA



OPEN SESSION MARCH 21, 2016

Call to Order Time:

	Executive Director's Report:	
	Chairperson's Report:	
	Board Attorney's Report	
OS-0321-01	<u>MINUTES</u> <u>Board Action</u> : Consideration of the Open Session Minutes of the December 21, 2015 meeting.	
OS-0321-02	<u>CLINICAL FELLOWS, AUDIOLOGY ASSISTANTS, AND SLP</u> <u>ASSISTANTS UPDATE</u> <u>Board Action</u> : To review revisions of the SLP regulations, harmonize them with draft regulations for Clinical Fellows and SLP Assistants, and to review draft regulations for Audiology Assistants.	
OS-0321-03	OSSE and DOH LICENSURE REQUIREMENTS Board Action: Discussion of requirements for both OSSE and DOH licensure for SLPs and Audiologists.	

This completes the OPEN SESSION Agenda

TO BE READ BY THE CHAIRPERSON PRIOR TO THE END OF THE PUBLIC SESSION

This concludes the public open session meeting and pursuant to the DC Official Code 2-575B and for the purposes set forth therein, the Board will now move into the closed executive session portion of the meeting.



899 NORTH CAPITOL ST. NE – 2NDFLOOR. **WASHINGTON, DC 20002**

DECEMBER 21, 2015

9:00 a.m. -12:00 p.m.

OPEN SESSION MEETING MINUTES



OPEN SESSION MINUTES DECEMBER 2015

ATTENDANCE:

BOARD MEMBERS:		
	GABRIELE S. NICOLET, CHAIRPERSON	Present
	STEPHANIE MARSHALL.BOARD MEMBER	Present
STAFF:	ROBIN Y. JENKINS, EXECUTIVE DIRECTOR	Present
	ERIC YEAGER, HEALTH LICENSING SPECIALIST	Present
	LEONARD HOWARD, INVESTIGATOR	Present
LEGAL STAFF:	PANRAVEE VONGJAROENRAT, ASSISTANT	Present
	GENERAL COUNSEL	
GUESTS:	Wanda Colston, Ph. D., University of the District	Present
	of Columbia	

The Agenda begins on the next page.



OPEN SESSION MINUTES DECEMBER 2015

OPEN SESSION: Call to Order

	Executive Director's Report: <u>Board Action</u> : The Executive Director reported that Dr. Rikin Mehta is no longer with the Department of Health and that Dr. Sharon Lewis is now the agency's Senior Deputy Director. <u>Chairperson's Report:</u> <u>Board Action:</u> There was no report from the Board Chair.	
	<u>Board Attorney's Report</u> <u>Board Action:</u> There was no report from the Board Attorney.	
OS-1221-01	MINUTES Board Action: The Open Session Minutes of the October 19, 2015, meeting were approved.	
OS-1221-02	CLINICAL FELLOWS, AUDIOLOGY ASSISTANTS, AND SLP ASSISTANTS UPDATE Board Action: The Board discussed how to move forward with new regulations for Audiology Assistants (AAs) and SLP Assistants (SLPAs). The Board agreed that Board Member Stephanie Marshall would head the subcommittee work on AAs and that work on SPLAs would be led by visitor Wanda Colston, Ph. D., Program Director of Speech and Language	



Pathology Program at the University of the District of Columbia.	

The OPEN SESSION concluded at 9:32 a.m.

DISTRICT OF COLUMBIA MUNICIPAL REGULATIONS for **SPEECH-**LANGUAGE PATHOLOGY

CHAPTER 79 SPEECH-LANGUAGE PATHOLOGY

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7900 GENERAL PROVISIONS

- 7900.1 This chapter shall apply to applicants for and holders of a license to practice speech-language pathology.
- 7900.2 Chapters 40 (Health Occupations: General Rules), and 41 (Health Occupations: Administrative Procedures), 84 (Speech-Language Pathology Clinical Fellow), and 102 (Speech-Language Pathology Assistant) of this title shall supplement this chapter.

7901 TERM OF LICENSE

- 7901.1 Subject to § 7901.2, a license issued pursuant to this chapter shall expire at 12:00 midnight of December 31st of each even-numbered year.
- 7901.2 If the Director changes the renewal system pursuant to § 4006.3 of chapter 40 of this title, a license issued pursuant to this chapter shall expire at 12:00 midnight on the last day of the month of the birth of the holder of the license, or other date established by the Director.

7902 EDUCATIONAL REQUIREMENTS

7902.1 To qualify for a license under this chapter, an applicant shall have graduated with a Master's or Doctoral Degree in speech-language pathology from a recognized educational institution whose speech language pathology program is accredited by the Council on Academic Accreditation in Audiology and Speech Language Pathology, an accrediting body recognized by the United States Department of Education, or an equivalent accrediting body as determined by the Board. Except as otherwise provided in this chapter, an applicant for a license shall furnish proof satisfactory to the Board, in accordance with § 841 of the Act, D.C. Official Code § 3-1208.41, of the following:

- (a) That the applicant has graduated with a Master's degree or a Doctoral Degree in speech language pathology from a recognized educational institution whose speech language pathology program is accredited by the Council on Academic Accreditation in Audiology and Speech Language Pathology, an accrediting body recognized by the United States Department of Education, or an equivalent accrediting body as determined by the Board;
- (b) That the applicant met the requirements set forth in section 7905 of these regulations; and
- (c) That the applicant has completed a period of clinical fellowship as required by these regulations.

7903 CLINICAL FELLOWSHIP REQUIREMENTS

- 7903.1 To qualify for a license under this chapter, an applicant shall have completed a clinical fellowship meeting the requirements of chapter 84 of this title. A person completing the clinical fellowship requirements shall have a Master's or Doctoral degree and shall commence a clinical fellowship within 2 years from the date of conferral of the degree, and shall complete the clinical fellowship within three and a half (3.5) years from the date of conferral of the degree.
- 7903.2 A speech-language pathology clinical fellowship certification issued by the American Speech-Language-Hearing Association (ASHA) shall be sufficient for the purpose of § 7903.1. The Board may extend the period of clinical fellowship for good cause shown.
- 7903.3 The Board may accept completion of the clinical fellowship required for the American Speech-Language-Hearing Association Certificate of Clinical Competence as meeting the requirements for clinical fellowship in this chapter.
- 7903.4 To complete a clinical fellowship, the clinical fellow shall be employed, with or without direct compensation, as a professional in the field of speech-language pathology under general supervision for a period of not less than nine (9) months with a minimum of thirty (30) hours of work per week. This requirement may also be met with part time employment as follows:
 - (a) Fifteen (15) to nineteen (19) hours a week, for a period of eighteen (18) months;

- (b) Twenty (20) to twenty-four (24) hours a week, for a period of fifteen (15) months; or
- (c) Twenty-five (25) to twenty-nine (29) hours a week, for a period of twelve (12) months.
- 7903.5 At least eighty percent (80%) of the clinical fellow's clinical fellowship shall involve direct client contact, which includes the following:
 - (a) Assessment, diagnosis, evaluation, and treatment;
 - (b) Screening;
 - (c) Habilitation and rehabilitation; and
 - (d) Activities related to case management.
- 7903.6 The remaining twenty percent (20%) shall be comprised of supervised activities such as writing, research or planning.
- 7903.7 The activities included in a clinical fellowship shall consist of the following:
 - (a) Conducting evaluations and treatment procedures;
 - (b) Interpreting test results;
 - (c) Determining case selections;
 - (d) Designing treatment programs;
 - (e) Collecting data and documenting performance;
 - (f) Maintaining clinical records;
 - (g) Providing written or oral reports (progress notes, diagnostic reports) regarding patients' or clients' status;
 - (h) Making referrals; and
 - (i) Participating in case conferences.
- 7903.8 Clinical fellow supervisor shall:
 - (a) Hold a valid District of Columbia license in speech-language pathology, a license in another jurisdiction with requirements substantially similar to the licensure requirements in the District, or, if in a state that does not require

	licensure, a Certificate of Clinical Competence in speech-language pathology from the American Speech-Language-Hearing Association;
	(b) Have been in practice for a minimum of two (2) years after licensure or certification;
	(c) Not supervise more than three (3) clinical fellows at one (1) time;
	(d) Not have been disciplined by a board or regulating body within the previous five (5) years; and
	(e) Provide a minimum of thirty-six (36) hours of supervisory activities during the clinical fellowship, including a minimum of two (2) hours of monitoring activities each month.
7903.9	A clinical fellow supervisor in the District of Columbia shall be responsible for the services and care provided by the clinical fellow, and shall also be subject to disciplinary action for any violation of federal or District of Columbia laws or regulations by the clinical fellow.
7903.10	An applicant for a license shall demonstrate qualifications required by §§ 7903.3 7903.6 by submitting, with the application, a signed statement from each clinical fellow supervisor who supervised the applicant during the required clinical fellowship period, which sets forth the following:
	(a) The number of hours of the applicant's supervised practice of speech- language pathology;
	(b) The number of hours of general supervision of the applicant;
	(c) The specific nature of responsibilities included in the applicant's practice;
	(d) The rating of the applicant's performance;
	(e) Where and when the supervision occurred; and
	(f) The license number and jurisdiction in which the clinical fellow supervisor was licensed during the supervised period, or proof that the clinical fellow supervisor has a Certificate of Clinical Competence if the clinical fellowship was in a state that does not require licensure.
7903.11	A clinical fellow supervisor in the District of Columbia shall:

(a) Submit a notification of supervision to the Board at least two (2) weeks prior to the commencement of the clinical fellowship;

	(b) Notify the Board, in writing, if the clinical fellow leaves the clinical fellowship prior to completing training and specify the reason for leaving. Notification shall be provided to the Board no later than ten (10) business days after the date the clinical fellow leaves the clinical fellowship; and
	(c) Notify the Board, in writing, if the clinical fellow changes his or her clinical fellow supervisor and specify the reason for the change. Notification shall be provided to the Board no later than ten (10) business days after the change of the clinical fellow supervisor.
7903.12	A clinical fellow may participate in patient care activities under the general supervision of a speech-language pathologist who is licensed in the District of Columbia, if clinical fellow's supervisor properly submitted notification to the Board in accordance with § 7903.11(a),.
7903.13	A clinical fellow in speech-language pathology shall identify himself or herself as a clinical fellow at all times when providing speech-language pathology services.
7903.14	A clinical fellow in speech-language pathology may practice in the District of Columbia, pursuant to this Section, for a maximum of eighteen (18) months, unless the Board extends the period for good cause shown.
7904	APPLICANTS EDUCATED IN FOREIGN COUNTRIES
7904.1	The Board may grant a license to practice speech-language pathology to an applicant who completed an educational program in a college or university in a foreign country which was not accredited in accordance with § 7902 if the applicant meets the following requirements:
	(a) Meets all requirements of this chapter except for § 7902.1; and
	(b) Demonstrates to the satisfaction of the Board that the applicant's education and training are substantially equivalent to the requirements of this chapter and the Act that the applicant is qualified to practice speech-language pathology by submitting documentation required by § 7904.2 of this section.
7904.2	An applicant under this section shall, in lieu of meeting the requirements of § 7902.1, submit one of the following:
	(a) Proof satisfactory to the Board that the applicant has received a Master's degree or higher from a foreign institution which was accredited, at the time the degree was conferred, by an accrediting body recognized by the national government of the country in which the institution is located; or

District of Columbia Municipal Regulations

Title 17

Title 17		District of Columbia Municipal Regulations
	(b)	A certification from a private education evaluation service approved by the Board that the applicant's foreign education is substantially equivalent to the education provided in an accredited program.

- 7904.3 The Board may interview an applicant under this section to determine whether the applicant's education or training meets the requirements of the Act and this chapter.
- 7904.4 If a document required by this chapter is in a language other than English, an applicant shall arrange for its translation into English by a translation service acceptable to the Board and shall submit a translation signed by the translator attesting to its accuracy.

7905 NATIONAL EXAMINATION

- 7905.1 To qualify for a license by examination, an applicant shall submit proof of having obtained a score of 600 on the National Examination in Speech-Language Pathology (PRAXIS II: Subject Assessment in Speech-Language Pathology) within the five (5) years before applying for licensure.
- 7905.2 If an applicant for licensure took the National Examination in Speech-Language Pathology more than 5 years ago, the applicant shall submit the following:
 - Proof that the applicant has practiced speech-language pathology for a total (a) of three (3) years of the five (5) years before applying for licensure, and
 - Proof of American Speech-Language-Hearing Association certification or (b) that the applicant obtained a score of 600 on the National Examination in Speech-Language Pathology.

7906 **CONTINUING EDUCATION REQUIREMENTS**

- 7906.1 This section shall apply to renewal, reactivation, or reinstatement of a license.
- 7906.2 This section shall not apply to applicants for an initial license by examination, reciprocity, or endorsement, nor shall it apply to applicants for the first renewal of a license granted by examination.
- 7906.3 An applicant for renewal of a license, who is not subject to subsection 7906.4, shall submit proof of having completed twenty (20) hours of approved continuing education hours during the two (2) year period preceding the date the license expires, including one (1) hour of ethics. The hours must be related to speechlanguage pathology.

Title 17	District of Columbia Municipal Regulation	
7906.4	An applicant for dual licensure renewal shall submit proof of having completed thirty (30) hours of approved continuing education hours during the two year period preceding the date the license expires, including one (1) hour in ethics. Of the thirty (30) hours, the applicant shall have completed at least five (5) hours in both the audiology and speech-language pathology disciplines. The intent of this paragraph is likewise shared by, and may be cross-referenced with, subsection 7808.4 of chapter 78 of title 17 of the District of Columbia Municipal Regulations, which govern the continuing education requirements of audiologists	
7906.5	An applicant for reinstatement of a license shall submit proof of having complete ten (10) hours of continuing education for each year during which the license was not valid, provided that:	
	(a) If an applicant seeks to be licensed more than five (5) years after the date that the applicant's license expires, the applicant shall meet the requirements for obtaining an initial license; and	
	(b) In order to reinstate a license, an applicant for reinstatement must have met all continuing education requirements for the licensure period immediately prior to the expiration of the license in addition to ten (10) hours for each year the applicant was unlicensed.	
7906.6	An applicant for reactivation of a license shall submit proof of having completed twenty (20) hours of continuing education per renewal period.	
7906.7	A license is not valid until it is renewed or reinstated.	
7906.8	A holder of an expired or sanctioned license shall only be eligible to apply for renewal or reinstatement of the sanctioned or expired license by meeting any legal and regulatory requirements applicable to the expired license in addition to the requirements set forth in any applicable order of the Board.	
7906.9	The Board shall periodically conduct a random audit of at least ten percent (10%) of its active licensees to determine continuing education compliance. Any licensee selected for the audit shall return the completed continuing education compliance audit form and all supporting documentation to the Board within thirty (30) days of receiving notification of the audit. Failure to comply with the continuing education requirements may subject the licensee to disciplinary action by the Board.	
7907	CONTINUING EDUCATION PROGRAMS AND ACTIVITIES	
7907.1	A continuing education hour shall be valid only if it is part of a program or activity approved by the Board.	

/907.2	criter	ia:
	(a)	It is current in its subject matter;
	(b)	It has been developed and will be taught by qualified individuals; and
	(c)	The program provider submits for the Board's review, no less than thirty (30) days prior to the date of the presentation, the following documentation:
		(1) A copy of the official program or syllabus;
		(2) The presentation title;
		(3) The date of the presentation;
		(4) The contact hours or credits awarded for the presentation; and
		(5) The type of audience for which the program is intended.
7907.3	follow	Board shall accept for credit, programs or activities conducted by the wing organizations (provided that the applicant submits verification of dance):
	(a)	The Speech-Language Hearing Association of the District of Columbia or similar speech-language hearing association of another state;
	(b)	The American Academy of Audiology;
	(c)	The American Speech-Language Hearing Association (ASHA) and its approved continuing education providers;
	(d)	An accredited provider of The Accreditation Council on Continuing Medical Education of the American Medical Association offering Category I continuing medical education;
	(e)	The International Association of Continuing Education and Training (IACET) and its authorized providers;
	(f)	A health care organization accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO); or
	(g)	The International Hearing Society.
7907.4		The Board may accept for credit the following activities:

The Board may approve a continuing education program if it meets the following 7907.2

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- (a) A course given at an accredited college or university;
- (b) A seminar or workshop;
- (c) An educational program given at a conference;
- (d) In-service training;
- (e) Serving as a presenter or speaker at a conference, seminar, workshop, or in- service training; or
- (f) Publishing an article in a professional journal or publication of a book or a chapter in a book or publication of a book review in a professional journal related to audiology or speech-language pathology.
- 7907.5 The Board may, in its discretion, approve additional continuing education programs and activities that contribute to the growth of professional competence in the practice of audiology or speech-language pathology and meet the other requirements of this section.
- 7907.6 The Board shall not grant credit for work done in the course of a renewal, reinstatement, or reactivation applicant's normal occupation or incident to the performance of his or her regular duties, such as teaching courses, research, or course preparation in the case of a teacher or professor.
- 7907.7 A renewal, reinstatement, or reactivation applicant shall have the burden of verifying whether a program is approved by the Board.
- 7907.8 A renewal, reinstatement, or reactivation applicant shall prove completion of required continuing education hours by submitting upon request the following information with respect to each program:
 - (a) The name and address of the provider of the program;
 - (b) The name of the program, its location, a description of the subject matter covered, and the names of the instructors;
 - (c) The dates on which the applicant attended the program;
 - (d) The hours of credit claimed; and
 - (e) Verification by the course provider or accreditor of completion, by signature, stamp, or official transcript in the case of accreditors.

7908 CONTINUING EDUCATION CREDITS

- 7908.1 For the purposes of this chapter, one (1) credit hour shall mean sixty (60) minutes of learning time.
- The Board may grant credit for Board-approved activities as specified below:
 - (a) The Board shall grant credit for a course given at an accredited college or university provided that:
 - (1) Each three (3)-credit-hour academic course constitutes thirty (30) hours of continuing education; and
 - (2) For each approved three (3)-credit-hour academic course that is audited, two (2) continuing education hours shall be granted.
 - (b) The Board may grant a maximum of six (6) continuing education hours per renewal period to an applicant who attends a pre-approved in-service education program.
- 7908.3 The Board may grant credit for serving as a presenter or speaker at a conference, seminar, workshop, or in- service training, or electronic or web-based course subject to the following restrictions:
 - (a) Hours granted pursuant to this section shall not exceed six (6) hours per renewal period;
 - (b) If a licensee or a renewal, reinstatement, or reactivation applicant has previously received credit in connection with a particular presentation, the Board shall not grant credit for a subsequent presentation unless it involves either a different subject or substantial additional research concerning the same subject;
 - (c) The presentation was completed during the period for which credit is claimed; and
 - (d) The maximum amount of credit which may be granted for preparation time is twice the amount of the associated presentation time or twice the amount of contact hours awarded for participants.
- 7908.4 The Board may grant credit for publication of an article in a professional journal or publication of a book or a chapter in a book or publication of a book review in a professional journal related to audiology or speech-language pathology, subject to the following:

- (a) The Board may grant eight (8) hours of continuing education credit per renewal period to an author or sole editor of a published book, if the book was published or accepted for publication during the period for which credit is claimed and the applicant submits proof of this fact in the application;
- (b) The Board may grant four (4) hours of continuing education per renewal period to the sole author or co-author of a peer-reviewed published original paper; and
- (c) The Board may grant one (1) continuing education hour of credit per renewal period to the sole author of a published book review.

7909 [RESERVED]

7910 LICENSURE BY ENDORSEMENT

- 7910.1 The Board may issue a license by endorsement to a speech-language pathologist who has a valid, unrestricted license in good standing from another jurisdiction of the United States with standards which are, as determined by the Board, substantially equivalent to the District of Columbia laws and regulations.
- 7910.2 To apply for licensure by endorsement, an applicant shall:
 - (a) Submit a completed application to the Board on the required forms and include:
 - i) The applicant's social security number, or, if the applicant does not have a social security number, a sworn affidavit, under penalty of perjury, that the applicant does not have a social security number; and
 - ii) Two (2) recent passport-type photos.
 - (b) Submit a copy of his or her current license with the application;
 - (c) Obtain licensure verification from the original state or territory of licensure that the license is current and in good standing. The licensure verification form must be sent directly to the Board by the verifying jurisdiction;
 - (d) Meet any other requirements set by the Board; and
 - (e) Pay all required fees.

7911 WAIVER OF CLINICAL FELLOWSHIP REQUIREMENTS

- 7911.1 The Board may waive the clinical fellowship requirements for applicants who:
 - Provide proof of employment in the practice of speech language pathology for at least ten (10) of the last fifteen (15) years immediately preceding the effective date of these regulations;
 - (2) Meet all other requirements of these regulations; and
 - (3) Apply by December 31, 2009.

7912 PRACTICE OF SPEECH-LANGUAGE PATHOLOGY BY GRADUATE STUDENTS

- 7912.1 A graduate student whose practice fulfills education requirements under §841 of the Act, D.C. Official Code § 3-1208.41, may practice speech-language pathology in accordance with this section 7912 only under the direct supervision of a speech-language pathologist licensed in the District of Columbia.
- 7912.2 A graduate student under supervision shall identify himself or herself as such at all times when practicing speech-language pathology.
- 7912.3 Both the supervisor and the graduate student shall sign reports with their full name and credentials.
- A student may sign only with the highest degree earned.
- 7912.5 The title or designation of "graduate student" shall be placed after the student's name in the report statement.
- 7912.6 If electronic records only accept one (1) signature, the supervisor shall sign.
- 7912.7 A supervisor shall not supervise more than two (2) full-time students per day in off-site placements from a university.
- 7912.8 A supervisor shall be personally responsible for each patient the student evaluates and treats during the period of supervision and is subject to disciplinary action for any violation of the Act or this chapter by the student.
- 7912.9 An individual serving as a supervisor for a graduate student in the District:
 - a) Shall hold a valid District of Columbia license in speech language pathology; and

- b) May not have been disciplined by a board within the previous five (5) years.
- 7912.10 Practice of speech-language pathology by graduate students in the District of Columbia shall comply with the American Speech-Language-Hearing Association's current Standards for Accreditation of Graduate Programs in Audiology and Speech-Language Pathology.

7913 STANDARDS OF CONDUCT

7913.1 A licensee, graduate student, or clinical fellow shall adhere to the standards set forth in the most current publication of the "Code of Ethics" as published by the American Speech-Language-Hearing Association, as it may be republished from time to time.

7914 SUPERVISION OF SPEECH-LANGUAGE PATHOLOGY ASSISTANT

- 7914.1 The speech-language pathologist supervising a speech-language pathology assistant shall retain full professional and ethical responsibility for the professional conduct and performance of the speech-language pathology assistant and shall delegate duties only as consistent with the training, experience, and ability of the speech-language pathology assistant.
- 7914.2 A speech-language pathologist supervising a speech-language pathology assistant shall comply with the requirements set forth in chapter 102 of this title.

7999 **DEFINITIONS**

As used in this chapter, the following terms shall have the meanings ascribed:

Applicant – a person applying for a license to practice speech-language pathology under this chapter.

Board - the Board of Audiology and Speech-Language Pathology.

Clinical fellow – a person who is completing the clinical fellowship requirements set forth under 17 DCMR § 7903.

Clinical fellow supervisor a person who is the supervisor of a clinical fellow.

Direct supervision – supervision in which the supervisor is immediately available on the premises and within vocal communication either directly or by a communication device.

Dual licensure renewal – renewal of both an audiology and a speech-language pathology license in the District of Columbia, within the same renewal period.

General supervision – supervision in which the supervisor is available to the person supervised, either in person or by a communications device.

Good cause – serious illness of the applicant, the death or serious illness of a member of the applicant's immediate family, or other cause sufficient to the Board.

Graduate student – a person enrolled in a Master's or Doctoral degree program in speechlanguage pathology.

Practice of speech-language pathology - means the application of principles, methods, or procedures related to the development and disorders of human communication, including any condition, whether of organic or non-organic origin, that impedes the normal process of human communication including disorders and related disorders of speech, articulation, fluency, voice, oral, or written language; auditory comprehension and processing; oral, pharyngeal or laryngeal sensorimotor competencies; swallowing; auditory or visual processing; auditory or visual memory or cognition; communication; and assisted augmentative communication treatment and devices. The term "practice of speech language pathology" also includes the planning, directing, supervising, and conducting of a habilitative and rehabilitative counseling program for individuals or groups of individuals who have, or are suspected of having, disorders of communication, and any service in speech-language pathology including prevention, identification, evaluation, consultation, habilitation or rehabilitation, instruction or research. The practice of speech-language pathology may include pure-tone air conduction hearing screening, screening of tympanometry, and acoustic reflex screening, limited to a passor- fail determination for the identification of individuals with other disorders of communication and may also include aural habilitation or rehabilitation, which means the provision of services and procedures for facilitating adequate auditory, speech, and language skills in individuals with hearing impairment. The practice of speech-language pathology does not include the practice of medicine or osteopathic medicine, or the performance of a task in the normal practice of medicine or osteopathic medicine by a person to whom the task is delegated by a licensed physician.

Clinical fellowship- the experience required by section 7903 of these regulations.

Supervisor – a speech-language pathologist who is qualified pursuant to section 7903.7 and who is providing general supervision to an individual completing the clinical fellowship requirements, or a speech language pathologist who is qualified pursuant to 7912.9 and who is providing direct supervision to a graduate student under 7912.

Supervisee- an individual who is completing the clinical fellowship requirements.

7999.2 - The definitions in § 4099 of chapter 40 of this title are incorporated by reference into and are applicable to this chapter.

DEPARTMENT OF HEALTH

NOTICE OF PROPOSED RULEMAKING

The Director of the Department of Health, pursuant to the authority set forth in section 302(14) of the District of Columbia Health Occupations Revision Act of 1985, effective March 25, 1986 (D.C. Law 6-99; D.C. Official Code § 3-1203.02(14) (2012 Repl. and 2015 Supp.)), and Mayor's Order 98-140, dated August 20, 1998, hereby gives notice of the intent to take action to adopt the following amendments to title 17, Business, Occupations, and Professions," of the District of Columbia Municipal Regulations in not less than thirty (30) days after the date of publication of this notice in the *D.C. Register*.

This rulemaking will establish regulations for the registration of clinical fellows in speechlanguage pathology in accordance with D.C. Official Cocle § 3-1209.11.

Title 17, BUSINESS, OCCUPATIONS, AND PROFESSIONS, of the District of Columbia Municipal Regulations is amended by adding a new chapter 84 to read as follows:

CHAPTER 84 SPEECH-LANGUAGE PATHOLOGY CLINICAL FELLOW

8400 GENERAL PROVISIONS

- 8400.1 This chapter shall apply to applicants for and holders of a registration to practice as speech-language pathology clinical fellow.
- 8400.2 Chapter 40 (Health Occupations: General Rules), 41 (Health Occupations: Administrative Procedures), and 79 (Speech-Language Pathology) of this title shall supplement this chapter.
- 8400.3 Except as provided in § 8400.4, as of the effective date of this chapter, no person may practice as a clinical fellow in speech-language pathology in the District unless duly registered under this chapter.
- 8400.4 The registration requirement under this chapter shall not be applicable to a clinical fellowship initiated and ongoing as of the effective date of this chapter provided that the clinical fellowship was initiated and conducted in accordance with the Certification of Clinical Competence standards of the American Speech-Language-Hearing Association (ASHA).

8401 TERM OF REGISTRATION

- 8401.1 A registration issued pursuant to this chapter shall expire one year from the date of issuance or on the expiration date shown on the registration.
- 8401.2 A registration issued pursuant to this chapter shall not be valid for more than eighteen (18) months, unless the Board extends the period for good cause shown. In any event, the clinical fellowship shall be completed within a period of no more than twenty-four (24) months.
- 8401.3 A registration shall not be issued unless the applicant is seeking to begin the clinical fellowship in speech-language pathology within two (2) years of the conferral of the applicant's degree. In any event, the clinical fellowship shall be completed within three and a half (3.5) years from the date of conferral of the degree.

8402 EDUCATIONAL REQUIREMENTS

8402.1 To qualify for registration under this chapter, an applicant shall have graduated with a Master's or Doctoral Degree in speech-language pathology from a recognized educational institution whose speech language pathology program is accredited by the Council on Academic Accreditation in Audiology and Speech-Language Pathology, an accrediting body recognized by the United States Department of Education, or an equivalent accrediting body as determined by the Board.

8403 APPLICATION FOR REGISTRATION

- 8403.1 An applicant for a registration shall submit a complete application in accordance with § 4001 of this title, which shall include:
 - (a) Satisfactory evidence of the applicant's graduation with the requisite degree in accordance with § 8402.1;
 - (b) A notification of clinical fellowship supervision submitted by a speechlanguage pathologist licensed in the District, meeting the requirement of § 8404.2.

8404 SUPERVISION OF CLINICAL FELLOWS

8404.1 A clinical fellow registered under this chapter may practice only under general supervision of a speech-language pathologist licensed in the District in accordance with chapter 78 of this title.

- 8404.2 To qualify as supervisor of a clinical fellow, a speech-language pathologist shall meet the following requirements:
 - (a) Holds a valid District of Columbia license in speech-language pathology;
 - (b) Has engaged in a lawful practice of speech-language pathology for a minimum of two (2) years; and
 - (c) Has not been subject to disciplinary action by a board or regulating body within the previous two (2) years.
- 8404.3 A clinical fellow supervisor shall provide:
 - (a) A minimum of thirty-six (36) hours of supervisory activities during the clinical fellowship, including a minimum of two (2) hours of monitoring activities each month;
 - (b) Ongoing mentoring, which shall include on-site observations and other mentoring activities; and
 - (c) Formal evaluations of the clinical fellow's performance of clinical and other related activities.

8404.4 A clinical fellow supervisor shall:

- (a) Notify the Board, in writing, if the clinical fellow leaves the clinical fellowship prior to completing training and specify the reason for leaving. Notification shall be provided to the Board no later than ten (10) business days after the date the clinical fellow leaves the clinical fellowship;
- (b) Notify the Board, in writing, if the clinical fellow changes his or her clinical fellow supervisor and specify the reason for the change. Notification shall be provided to the Board no later than ten (10) business days after the change of the clinical fellow supervisor;
- (c) Not supervise more than three (3) clinical fellows at any given time.

8405 SCOPE OF PRACTICE

8405.1 The clinical fellowship shall consist of an employment, with or without direct compensation, as a professional in the field of speech-language pathology under general supervision with a minimum of thirty-five (35) hours of work per week. This requirement may also be met with part-time employment as follows:

	 (a) Fifteen (15) to nineteen (19) hours a week, for a period of eighteen (18) months;
	(b) Twenty (20) to twenty-four (24) hours a week, for a period of fifteen (15) months; or
	 (c) Twenty-five (25) to twenty-nine (29) hours a week, for a period of twelve (12) months.
8405.2	At least eighty percent (80%) of the clinical fellowship shall involve direct client contact, which includes the following:
	(a) Assessment, diagnosis, evaluation, and treatment;
	(b) Screening;
	(c) Habilitation and rehabilitation; and
	(d) Activities related to case management.
8405.3	The remaining twenty percent (20%) may be composed of supervised activities such as writing, research or planning,
8405.4	The activities included in a clinical fellowship shall consist of the following: (a) Conducting evaluations and treatment procedures;
	(b) Interpreting test results:
	(c) Determining case selections;
	(d) Designing treatment programs;
	(e) Collecting data and documenting performance;
	(f) Maintaining clinical records;
	 (g) Providing written or oral reports (progress notes, diagnostic reports) regarding patients' or clients' status;
	(h) Making referrals; and
	(i) Participating in case conferences.
9406	STANDADDS OF CONDUCT

8406 STANDARDS OF CONDUCT

- 8406.1 A registered clinical fellow shall abide by the Code of Ethics adopted by the American-Speech-Hearing Association (ASHA).
- 8406.2 A registered clinical fellow shall identify himself or herself as a clinical fellow at all times when providing speech-language pathology services.

8499 **DEFINITIONS**

8499.1 The following terms and phrases shall have the meanings ascribed:

Act – the Health Occupations Revisions Act, effective March 15, 1986 (D.C. Law 6-99; D.C. Official Code §§ 3-1201, et seq. (2012 Repl.));

Applicant – a person applying for a registration to practice as a speech-language pathology clinical fellow under this chapter.

Board - the Board of Audiology and Speech-Language Pathology.

Clinical fellow – a person who is registered pursuant to this chapter and completing the clinical fellowship requirements set forth under 17 DCMR § 7903.

Clinical fellow supervisor – a person who is the supervisor of a clinical fellow.

Clinical fellowship – a period of supervised and mentored professional experience in the practice of speech-language pathology engaged by a person with a graduate degree in speech-language pathology in order to qualify for independent practice or licensure.

General supervision – supervision in which the supervisor is available to the person supervised, either in person or by a communications device.

Good cause – serious illness of the applicant, the death or serious illness of a member of the applicant's immediate family, or other cause sufficient to the Board.

All persons desiring to comment on the subject of this proposed rulemaking should file comments in writing not later than thirty (30) days after the date of the publication of this notice in the *D.C. Register*. Comments should be sent to the Department of Health, Phillip L. Husband, General Counsel, Office of the General Counsel, 899 North Capitol Street, N.E., 5th Floor, Washington, D.C. 20002. Copies of the proposed rules may be obtained during the hours of 9 a.m. to 5 p.m., Monday through Friday, excluding holidays, at the address listed above, or by contacting Angli Black, Administrative Assistant, at <u>Angli.Black@dc.gov</u>, (202) 442-5977.

DEPARTMENT OF HEALTH

NOTICE OF PROPOSED RULEMAKING

The Director of the Department of Health, pursuant to the authority set forth in section 302(14) of the District of Columbia Health Occupations Revision Act of 1985, effective March 25, 1986 (D.C. Law 6-99; D.C. Official Code § 3-1203.02(14) (2012 Repl.)), and Mayor's Order 98-140, dated August 20, 1998, hereby gives notice of the intent to take action to adopt the following amendments to title 17, Business, Occupations, and Professions," of the District of Columbia Municipal Regulations in not less than thirty (30) days after the date of publication of this notice in the *D.C. Register*.

This rulemaking will establish regulations for the registration of speech-language pathology assistant in accordance with D.C. Official Code § 3-1209.10.

Title 17, BUSINESS, OCCUPATIONS, AND PROFESSIONS, of the District of Columbia Municipal Regulations is amended by adding a new chapter 102 to read as follows:

CHAPTER 102 SPEECH-LANGUAGE PATHOLOGY ASSISTANTS

10200 GENERAL PROVISIONS

- 10200.1 This chapter shall apply to applicants for and holders of a registration to practice as a speech-language pathology assistant.
- 10200.2 Chapter 40 (Health Occupations: General Rules), 41 (Health Occupations: Administrative Procedures), and 79 (Speech-Language Pathology) of this title shall supplement this chapter.

10201 TERM OF LICENSE

- 10201.1 Subject to \$ 10201.2, a registration issued pursuant to this chapter shall expire at 12:00 midnight of December 31st of each even-numbered year.
- 10201.2 If the Director changes the renewal system pursuant to § 4006.3 of this title, a registration issued pursuant to this chapter shall expire at 12:00 midnight on the last day of the month of the birth of the holder of the registration, or other date established by the Director.

10202 EDUCATIONAL REQUIREMENTS

10202.1 Except as otherwise provided in this chapter, an applicant for a registration shall furnish proof satisfactory to the Board that the applicant possesses one of the following:

- (a) An associate's degree in speech-language assisting;
- (b) A certificate from a technical training program for speech-language pathology assistant; or
- (c) A bachelor's degree in speech-language pathology.
- 10202.2 An applicant's credential as required in § 10202.1 shall have been conferred by an institution or program that was recognized at the time the degree was conferred by a state's higher education authority.

10203 WAIVER OF EDUCATIONAL AND TRAINING REQUIREMENTS

- 10203.1 The Board may waive the educational and clinical experience requirements for an applicant who:
 - (a) Provides proof that he or she was employed as a speech-language pathology assistant under the supervision of a speech-language pathologist for a minimum of 15 hours per week during three (3) of the five (5) years before February 5, 2014; and
 - (c) Applies for registration no later than twelve (12) months from the effective date of this provision.

10204 REGISTRATION BY ENDORSEMENT

10204.1 An applicant for registration by endorsement may qualify for registration by endorsement if the applicant is licensed, registered, certified, or accredited by an accrediting association or a state board and recognized by the Board as a qualified professional according to standards that were the substantial equivalent of the requirements of § 10202, at the time of the licensing, registration, certification, or accreditation.

10205 SCOPE OF PRACTICE

- 10205.1 No person may practice as a speech-language pathology assistant unless duly registered under this chapter.
- 10205.2 A speech-language pathology assistant may practice only under supervision of a licensed speech-language pathologist meeting the requirements of §

Comment [PV1]: NOTE -1 added this after the last subcommittee meeting (3/7). Is this available to a technical training program?

7914.2 of this title.

10205.4

- A speech-language pathology assistant may not engage in the following:
 - (a) Representing himself or herself as an SLP;
 - (b) Performing standardized or nonstandardized diagnostic tests, formal or informal evaluations, or swallowing screenings/checklists;
 - (c) Performing procedures that require a high level of clinical acumen and technical skill (e.g., vocal tract prosthesis shaping or fitting, vocal tract imaging and oral pharyngeal swallow therapy with bolus material);
 - (d) Interpreting clinical results and observations;
 - Participating in formal parent conferences, case conferences, or any interdisciplinary team without the presence of the supervising SLP or other designated SLP;
 - (f) Providing interpretative information to the student/patient/client, family, or others regarding the patient/client status or service;
 - (g) Writing, developing, or modifying a student's, patient's, or client's treatment plan in any way;
 - (h) Assisting with students, patients, or clients without following the individualized treatment plan prepared by the certified SLP or without access to supervision;
 - Signing any formal documents (e.g., treatment plans, reimbursement forms, or reports; the SLPA should sign or initial informal treatment notes for review and co-sign with the supervising SLP as requested);
 - (j) Selecting students, patients, or clients for service;
 - (k) Discharging a student, patient, or client from services;
 - (1) Making referrals for additional service;
 - (m) Disclosing clinical or confidential information either orally or in writing to anyone other than the supervising SLP unless mandated by law;
 - (n) Developing or determining the swallowing strategies or precautions for patients, family, or staff;
 - (o) Treating medically fragile students/patients/clients independently;

- (p) Designing or selecting augmentative and alternative communication systems or devices; or
- (q) Invoicing a payor directly for his or her services.

10205.5 A speech-language pathology assistant may perform the following duties under indirect supervision of a speech-language pathologist:

- (a) Performing speech-language and hearing screenings, without clinical interpretation of results;
- (b) Assisting with informal documentation as directed by the speech-language pathologist;
- (c) Following documented treatment plans or protocols developed by the supervising speech-language pathologist;
- (d) Documenting patient or client performance, such as tallying data for the speech-language pathologist to use, preparing charts, records, and graphs, and reporting the information to the supervising speech-language pathologist;
- (e) Programming and providing instruction in the use of augmentative and alternative communication devices.
- (f) Sharing information with patients, families, and staff regarding feeding strategies developed and directed by the supervising speech-language pathologist; or
- (g) Participating in preventive and advocacy activities in the community as directed by the supervising speech-language pathologist.

10205.6 A speech-language pathology assistant may perform the following duties only under the direct supervision of a speech-language pathologist:

- Participating in parent conferences, case conferences, or any interdisciplinary team;
- (b) Signing or initialing informal treatment notes to be reviewed and cosigned by the supervising speech-language pathologist;
- (c) Using a checklist or tabulating results of feeding or swallowing evaluations; or
- (d) Treating medically fragile students, patients, or clients.

4

- 10205.7 A speech-language pathology assistant shall meet with the supervising speech-language pathologist at least once per month to discuss his or her practice and services.
- 10205.8 A speech-language pathology assistant may not perform tasks when a supervising speech-language pathologist cannot be reached by personal contact, phone, pager, or other immediate or electronic means.
- 10205.9 A speech-language pathology assistant shall cease practice immediately if there is no speech-language pathologist providing current and active supervision.

10206 STANDARDS OF CONDUCT

- 10206.1 A speech-language pathology assistant shall adhere to the standards set forth in the most current publication of the "Code of Ethics" as published by the American Speech-Language-Hearing Association, as it may be republished from time to time.
- 10206.2 A speech-language pathology assistant shall clearly identify himself or herself to patients or clients as a speech-language pathology assistant practicing under the supervision of the supervising speech-language pathologist at all times.
- 10206.3 A speech-language pathology assistant shall display his or her current registration in a conspicuous place in the office in which he or she is employed.
- 10206.4 A speech-language pathology assistant shall perform only those functions of the profession that are within his or her scope of competence, training, and experience.

10207 SUPERVISION OF SPEECH-LANGUAGE PATHOLOGY ASSISTANTS

- 10207.1 The speech-language pathologist supervising a speech-language pathology assistant shall retain full professional and ethical responsibility for the professional conduct and performance of the speech-language pathology assistant and shall delegate duties only as consistent with the training, experience, and ability of the speech-language pathology assistant.
- 10207.2 A speech-language pathologist supervising a speech-language pathology assistant shall meet the following requirements:
 - (a) Possessing current and valid license to practice speech-language pathology in the District of Columbia;

- (b) Having practiced speech-language pathology in the District or any other jurisdiction of the United States for at least two (2) years; and
- (c) Not having been subject to a formal or public disciplinary action by the Board or any other jurisdiction within the United States within the previous two (2) years.
- 10207.3 A supervising speech-language pathologist may supervise no more than the equivalent of two (2) full-time speech-language pathology assistants at any given time.
- 10207.4 A speech-language pathologist may not supervise more than a total of four (4) individuals, inclusive of speech-language pathology assistant(s), speech-language pathology clinical fellow(s), and speech-language pathology student(s), at any given time regardless of the total number of work hours performed by the supervisees.
- 10207.5 The supervising speech-language pathologist shall be responsible for designing and implementing a supervisory plan that ensures the highest standard of quality care can be maintained for patients and clients.
- 10207.6 The supervising speech-language pathologist shall provide supervision consistent with the speech-language pathology assistant's known and documented ability, training, education, and experience; the needs of the patients and clients; the service setting; the tasks assigned; and requirements set forth in this chapter.
- 10207.7 Except as required by § 10207.8, and subject to the supervising speechlanguage pathologist's professional assessment concerning the level of necessary supervision in accordance with § 10207.6, the supervising speech-language pathologist shall provide the following minimum supervision:
 - Documentation of direct supervision relating to each patient or client at least every 60 calendar days;
 - (b) At minimum one (1) hour of direct supervision of the speechlanguage pathology assistant per week; and
 - (c) Documentation of all supervisory activities, both direct and indirect.
- 10207.8 Unless the supervising speech-language pathologist possesses sufficient knowledge and documentation of the speech-language pathology assistant's ability, training, education, and experience to perform his or her duties effectively and safely with the level of supervision outlined in § 10207.7, the supervising speech-language pathologist shall provide supervision as outlined below for a minimum of ninety (90) workdays:

- (a) Weekly direct supervision for a minimum of twenty per cent (20%) of the speech-language pathology assistant's work time;
- (b) Weekly indirect supervision for a minimum of ten per cent (10%) of the speech-language pathology assistant's work time;
- (c) Notwithstanding the requirement of subsection a), the supervising speech-language pathologist shall provide direct supervision for no less than twenty per cent (20%) of the speech-language pathology assistant's actual contact time with patients or clients weekly;
- (d) The supervising speech-language pathologist shall review the information and data on every patient or client seen by the speech-language pathology assistant on a weekly basis;
- (e) The supervising speech-language pathologist shall have contact time with all patients or clients seen or served by the speech-language pathology assistant at least once every two (2) weeks; and
- (f) The amount, type, and detail of all supervision shall be documented.
- 10207.9 The supervising speech-language pathologist shall co-sign all documents and therapy notes prepared by the speech-language pathology assistant.
- 10207.10 A supervising speech-language pathologist who will not be able to supervise an speech-language pathology assistant for more than one (1) week shall:
 - (a) Inform the speech-language pathology assistant of the planned absence; and
 - (b) Make other arrangements for the speech-language pathology assistant's supervision of services while the speech-language pathologist is unavailable; or
 - (c) Inform the patients or clients that services will be rescheduled.
- 10207.11 A supervising speech-language pathologist shall maintain ongoing written documentation of a systematic method of supervision of speech-language pathology assistants.
- 10207.12 The supervising speech-language pathologist shall maintain the record of all supervision and supervisory details provided to the speech-language pathology assistant continuously throughout the supervisory relationship. After the termination of the supervisory relationship, all supervision-related records shall be maintained and preserved for a minimum of three (3) years after the last adult patient or client is seen, served, or treated or all minor patients or clients have reached the age of majority, whichever is later.

10208 CONTINUING EDUCATION REQUIREMENTS

- 10208.1 This section shall apply to applicants for renewal, reactivation, or reinstatement of a registration.
 10208.2 This section shall not apply to applicants for an initial registration or applicants
- for the first renewal of a registration.
- 10208.3 A renewal, reactivation, or reinstatement applicant shall submit proof of having completed ten (10) hours of approved continuing education during the two (2) year period preceding the date the license expires, including one (1) hour of ethics. The hours must be related to speech-language pathology.
- 10208.4 A reinstatement applicant shall also submit proof of having completed five (5) hours of continuing education including one half (0.5) hour of ethics for each year during which the registration was not valid.
- 10208.5 A reactivation applicant shall also submit prove of having completed ten (10) hours of continuing education for each renewal period during which his or her registration remained inactive.
- 10207.6 A holder of an expired or sanctioned registration shall only be eligible to apply for renewal or reinstatement of the sanctioned or expired registration by meeting any legal and regulatory requirements applicable to the expired registration in addition to the requirements set forth in any applicable order of the Board.
- 10208.7 The Board may periodically conduct a random audit of at least ten per cent (10%) of its active registrants to determine continuing education compliance. Any registrant selected for the audit shall return the completed continuing education compliance audit form and all supporting documentation to the Board within thirty (30) days of receiving notification of the audit. Failure to comply with the continuing education requirements may subject the registrant to disciplinary actions.

10209 APPROVED CONTINUING EDUCATION PROGRAMS AND ACTIVITIES

- 10209.1 A continuing education hour shall be valid only if it is part of a program or activity approved by the Board.
- 10209.2 The Board may approve a continuing education program if it meets the following criteria:
 - (a) It is current in its subject matter;

- (b) It has been developed and will be taught by qualified individuals; and
- (c) The program provider submits for the Board's review, no less than thirty (30) days prior to the date of the presentation, the following documentation:
 - (1) A copy of the official program or syllabus;
 - (2) The presentation title;
 - (3) The date of the presentation;
 - (4) The contact hours or credits awarded for the presentation; and
 - (5) The type of audience for which the program is intended.

10209.3 The Board shall accept for credit, programs or activities conducted by the following organizations:

- (a) The Speech-Language Hearing Association of the District of Columbia or similar speech-language hearing association of another state;
- (b) The American Academy of Audiology;
- (c) The American Speech-Language Hearing Association (ASHA) and its approved continuing education providers;
- (d) An accredited provider of The Accreditation Council on Continuing Medical Education of the American Medical Association offering Category I continuing medical education;
- (e) The International Association of Continuing Education and Training (IACET) and its authorized providers;
- (f) A health care organization accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO); or
- (g) The International Hearing Society.
- 10209.4 The Board may accept for credit the following activities:
 - (a) A course given at an accredited college or university;
 - (b) A seminar or workshop;
 - (c) An educational program given at a conference;

- (d) In-service training;
- (e) Serving as a presenter or speaker at a conference, seminar, workshop, or in- service training; or
- (f) Publishing an article in a professional journal or publication of a book or a chapter in a book or publication of a book review in a professional journal related to audiology or speech-language pathology.
- 10209.5 The Board may approve continuing education programs and activities that contribute to an applicant's or registrant's growth in professional competence in the practice of speech-language pathology or speech-language pathology assistant and meet the other requirements of this section.
- 10209.6 The Board shall not grant credit for work done in the course of a renewal, reinstatement, or reactivation applicant's normal occupation or incident to the performance of his or her regular duties, such as teaching courses, research, or course preparation in the case of a teacher or professor.
- 10209.7 A renewal, reinstatement, or reactivation applicant shall have the burden of verifying whether a program is approved by the Board.
- 10209.8 A renewal, reinstatement, or reactivation applicant shall prove completion of required continuing education hours by submitting upon request the following information with respect to each program:
 - The name and address of the provider of the program;
 - (b) "The name of the program, its location, a description of the subject matter covered, and the names of the instructors;
 - (c) The dates on which the applicant attended the program;
 - (d) The hours of credit claimed; and
 - (e) Verification by the course provider or accreditor of completion, by signature, stamp, or official transcript in the case of accreditors.

10210 CONTINUING EDUCATION CREDITS

- 10210.1 For the purposes of this chapter, one (1) credit hour shall mean fifty (50) minutes of learning time.
- 10210.2 The Board may grant credit for Board-approved activities as specified below:
- (a) The Board shall grant credit for a course given at an accredited college or university provided that:
 - (1)Each three (3)-credit-hour academic course constitutes thirty (30) hours of continuing education; and
 - (2)For each approved three (3)-credit-hour academic course that is audited, two (2) continuing education hours shall be granted.
- (b) The Board may grant a maximum of six (6) continuing education hours per renewal period to an applicant who attends a pre-approved in-service education program.

The Board may grant credit for serving as a presenter or speaker at a conference, seminar, workshop, or in- service training, or electronic or web-based course subject to the following restrictions:

- Hours granted pursuant to this section shall not exceed six (6) hours per (a) renewal period;
- If a licensee or a renewal, reinstatement, or reactivation applicant has (b) previously received credit in connection with a particular presentation, the Board shall not grant credit for a subsequent presentation unless it involves either a different subject or substantial additional research concerning the same subject;
- The presentation was completed during the period for which credit is (c) claimed: and
- The maximum amount of credit which may be granted for preparation (d) time is twice the amount of the associated presentation time or twice the amount of contact hours awarded for participants.
- 10210.4 The Board may grant credit for publication of an article in a professional journal or publication of a book or a chapter in a book or publication of a book review in a professional journal related to audiology or speech-language pathology, subject to the following:
 - The Board may grant eight (8) hours of continuing education credit per (a) renewal period to an author or sole editor of a published book, if the book was published or accepted for publication during the period for which credit is claimed and the applicant submits proof of this fact in the application;

10210.3

- (b) The Board may grant four (4) hours of continuing education per renewal period to the sole author or co-author of a peer-reviewed published original paper; and
- (c) The Board may grant one (1) continuing education hour of credit per renewal period to the sole author of a published book review.

10299 DEFINITIONS

10299.1 The definitions in section 4099 of this title are incorporated by reference into and are applicable to this chapter.

10299.2 As used in this chapter, the following terms shall have the meanings ascribed:

Act -- The District of Columbia Health Occupation Revision Act of 1985 ("Act"), effective March 25, 1986 (D.C. Law 6-99; D.C. Official Code § 3-1201.01 et seq.)

Board – the Board of Audiology and Speech-Language Pathology, established by section 841 of the Audiology and Speech-Language Pathology Amendment Act of 2006, effective March 6, 2007 (D.C. Law 16-219; D.C. Official Code § 3-1208.41 (2007 Repl.)).

Direct supervision – supervision in which the supervising speech-language pathologist provides on-site, in-view observation and guidance while the supervised speech-language pathology assistant is performing his or her duties and practice. This can include the supervising speech-language pathologist viewing and communicating with the speech-language pathology assistant via telecommunication technology as the speech-language pathology assistant provides clinical services, because this allows the speech-language pathologist to provide ongoing immediate feedback. Direct supervision does not include reviewing a taped session at a later time.

Indirect supervision – supervision in which the supervisor is available to the person supervised, either in person or by a communications device. Indirect supervisory activities may include demonstration tapes, record review, review and evaluation of audio- or videotaped sessions, and/or supervisory conferences that may be conducted by telephone and/or live, secure webcam via the Internet.

Medically fragile patient or client – an individual suffering from a chronic physical condition, which results in prolonged dependency on medical care for which daily skilled nursing intervention is medically necessary and is characterized by one or more of the following:

• There is a life threatening condition characterized by reasonably frequent periods of acute exacerbation which requires frequent medical supervision, and/or physician consultation and which in the absence of such supervision or consultation would require hospitalization;

- The individual requires frequent, time consuming administration of specialized treatments which are medically necessary; or
- The individual is dependent on medical technology such that without the technology a reasonable level of health could not be maintained. Examples include but are not limited to dependence on ventilators, dialysis machines, enteral or parenteral nutrition support and continuous oxygen.

Speech-language pathology assistant – a person duly registered and authorized to practice as a speech-language pathology assistant under this chapter.

All persons desiring to comment on the subject of this proposed rulemaking should file comments in writing not later than thirty (30) days after the date of the publication of this notice in the *D.C. Register*. Comments should be sent to the Department of Health, Phillip L. Husband, General Counsel, Office of the General Counsel, 899 North Capitol Street, N.E., 5th Floor, Washington, D.C. 20002. Copies of the proposed rules may be obtained during the hours of 9 a.m. to 5 p.m., Monday through Friday, excluding holidays, at the address listed above, or by contacting Angli Black, Administrative Assistant, at <u>Angli.Black@dc.gov</u>, (202) 442-5977.

DISTRICT OF COLUMBIA MUNICIPAL REGULATIONS for AUDIOLOGY ASSISTANT

date

Title 17

CHAPTER 101 AUDIOLOGY ASSISTANT

Secs	
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7899 Definitions

10100 GENERAL PROVISIONS

- 10100.1 This chapter shall apply to applicants for and holders of a registration to practice as an audiology assistant.
- 10100.2 Chapters 40 (Health Occupations: General Rules), 41 (Health Occupations: Administrative Procedures), and 78 (Audiology) of this title shall supplement this chapter.

10101 TERM OF REGISTRATION

- 10101.1 Subject to § 10101.2, a registration issued pursuant to this chapter shall expire at midnight of December 31 of each even-numbered year.
- 10101.2 The Director may change the renewal system and the expiration dates of registrations issued pursuant to this chapter pursuant to § 4006.3 of this title.

10102 EDUCATIONAL AND CLINICAL EXPERIENCE REQUIREMENTS

- 10102.1 Except as otherwise provided in this chapter, an applicant for a registration to practice as an audiology assistant shall furnish proof satisfactory to the Board that the applicant:
 - (a) Graduated from an accredited college or university with at least an associate's degree based on a program of studies primarily focusing on audiology or hearing sciences and disorders, or a program determined

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by the Board to be substantially equivalent in subject matter and extent of training to an associate's degree in audiology;

(b) Has successfully completed the clinical observation hours and supervised clinical assisting experience hours HOW MANY? WHAT SHOULD IT LOOK LIKE?

10103	SUPERVISED	CLINICAL	EXPERIENCE REC	DUIREMENT
10100	DULLIVIDED	CLIMENTE	LINE MERINGER RELY	

10103.1	In order to satisfy the supervised experience requirement of subsection
	7802.1(c) a person must meet one (1) of the following standards:

- (a) The person shall have completed the equivalent of a clinical fellowship with a minimum of one thousand eight hundred twenty (1820) hours of clinical practice under general supervision while a candidate for an Au.D. degree; or
- (b) Each of the following:
 - (1) The person shall have graduated with a Master's degree or Ph.D. degree meeting the requirements set forth in section 7802.1(a) before the start of the supervised experience; and
 - (2) The person shall have commenced a clinical fellowship, meeting the time period/works hours and supervised activities standards set forth in subsections 7803.2 and 7803.3, within two (2) years after the date of conferral of the Master's or Ph.D. degree and shall have completed the clinical fellowship within three and a half $(3\frac{1}{2})$ years after the date of the conferral of the degree; provided, the Board may extend the period required for completion of the clinical fellowship for good cause shown.
- 7803.2 To meet the clinical fellowship time period and work hours requirement referenced in subsection 7803.1(b)(2), the applicant shall be employed, with or without direct compensation, as a professional in the field of audiology under general supervision for any of the following time periods and hours:
 - (a) Thirty (30) hours of work per week for a period of nine (9) months;
 - (b) Twenty five (25) to twenty-nine (29) hours of work per week for a period of twelve (12) months;
 - (c) Twenty (20) to twenty-four (24) hours of work per week for a period of fifteen (15) months; or

Title 17 District of Columbia Municipal Regulations (d) Fifteen (15) to nineteen (19) hours of work per week for a period of eighteen (18) months. To meet the clinical fellowship supervised activities requirement referenced 7803.3 in subsection 7803.1(b)(2): (a) At least eighty percent (80%) of the supervisee's supervised experience shall be in direct client contact, which includes the following: (1) Assessment, diagnosis, evaluation, and treatment; (2) Screening; (3) Habilitation and rehabilitation; and (4) Activities related to case management. (b) The remaining percentage of the supervisee's supervised experience shall be comprised of supervised activities such as writing, research, or planning. (c) The activities during the supervised experience shall consist of the following: (1) Conducting evaluations and treatment procedures; (2) Interpreting test results; Determining case selections; (3)(4) Designing treatment programs; (5) Collecting data and documenting performance; (6) Maintaining clinical records; Providing written or oral reports (progress notes, diagnostic (7) reports) regarding clients' statuses; (8) Making referrals; and (9) Participating in case conferences. 7803.4 An individual serving as a supervisor for the supervised experience requirement shall:

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	 (a) Hold either a District of Columbia registration in audiology, a registration issued by another jurisdiction with requirements substantially similar to the licensure requirements in the District, or, for states that do not require licensure, a Certificate of Clinical Competence from the American Speech-Language Hearing Association in audiology or a board certification from the American Board of Audiology;
	(b) Have been in practice for a minimum of two (2) years after his or her licensure or certification;
	(c) Not supervise more than three (3) individuals at one (1) time;
	(d) Not have been disciplined by a board within the previous five (5) years; and
	(e) Provide a minimum of thirty-six (36) hours of supervisory activities during the supervised experience, including a minimum of two (2) hours of monitoring activities, each month.
7803.5	An individual serving as a supervisor in the District shall be legally responsible for services provided by the supervisee.
7803.6	An applicant shall demonstrate that he or she has met the requirements of subsections 7803.2-7803.3 by submitting with his or her application a signed statement from each supervisor who supervised the applicant during the required period of experience. Each statement shall set forth the following:
	(a) The number of hours per week of the applicant's practice of audiology;
	(b) The number of hours of general supervision of the applicant;
	 (c) The specific nature of, and responsibilities included in, the applicant's practice;
	(d) A rating of the applicant's performance;
	(e) The location at which, and period(s) of time during which, the supervision took place; and
	(f) The license number and jurisdiction in which the supervisor was licensed during the supervised period or proof that the supervisor has a Certificate of Clinical Competence from the American Speech- Language Hearing Association or board certification from the American Board of Audiology if the supervised experience took place in a state that does not require licensure of audiologists.

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7803.7 The Board may accept successful completion of the clinical fellowship required for the American Speech-Language-Hearing Association Certificate of Clinical Competence or American Board of Audiology certification as meeting the requirements for supervised experience.

10104 WAIVER OF EDUCATIONAL AND TRAINING REQUIREMENTS

- 10104.1 The Board may waive the educational and clinical experience requirements for an applicant who:
 - (a) Provides proof that he or she was employed as an audiology assistant under the direct supervision of an audiologist for a minimum of 15 hours per week during three (3) of the five (5) years before February 5, 2014; and
 - (c) Applies for registration no later than February 5, 2014.

10105 REGISTRATION BY ENDORSEMENT

REQUIREMENTS ARE DIFFERENT IN DIFFERENT STATES. WILL NEED TO PROVIDE FOR "SUBSTANTIALLY EQUIVALENT" IN SOME WAY.

10106 CONTINUING EDUCATION REQUIREMENTS

- 10106.1 This section shall apply to applicants for renewal, reactivation, or reinstatement of a registration.
- 10106.2 This section shall not apply to applicants for an initial registration, reciprocity, or endorsement; nor does it apply to applicants for the first renewal of a registration. DO WE NEED THIS? SHOULD WE START REQUIRING CE RIGHT AWAY?
- 10106.3 An applicant for renewal of a registration shall submit proof of having completed twenty (20) hours of approved continuing education hours during the two (2) year period preceding the date the registration expires, including one (1) hour in ethics. The hours must be related to audiology.
- 10106.4 A reinstatement applicant shall also submit proof of having completed ten (10) hours of continuing education for each year during which the registration was not valid.

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Comment [PV1]: TO USE A DATE 6 MONTHS

- 10106.5 A reactivation applicant shall also submit proof of having completed twenty (20) hours for each renewal period during which the applicant's registration remained inactive.
- 10108.6 A holder of an expired or sanctioned registration under this chapter shall not be granted a new registration without first addressing any prior problems with the expired or sanctioned registration. He or she shall only be eligible to apply for renewal or reinstatement of the sanctioned or expired registration by meeting any legal and regulatory requirements applicable to the expired registration in addition to the requirements set forth in any applicable order of the Board.
- 10106.7 The Board shall periodically conduct a random audit of at least ten percent (10%) of its active registrants to determine continuing education compliance. Any licensee selected for the audit shall return the completed continuing education compliance audit form and all supporting documentation to the Board within thirty (30) days of receiving notification of the audit. Failure to comply with the continuing education requirements may subject the registrant to disciplinary action by the Board.

10107 CONTINUING EDUCATION PROGRAMS AND ACTIVITIES

- 10107.1 A continuing education hour shall be valid only if it is part of a program or activity approved by the Board.
- 10107.2 The Board may approve a continuing education program if it meets the following criteria:
 - (a) It is current in its subject matter;
 - (b) It has been developed and will be taught by qualified individuals; and
 - (c) The program provider submits for the Board's review, no less than thirty (30) days prior to the date of the presentation, the following documentation:
 - (1) A copy of the official program or syllabus;
 - (2) The presentation title;
 - (3) The date of the presentation;
 - (4) The contact hours or credits awarded for the presentation; and
 - (5) The type of audience for which the program is intended.

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- 10107.3 The Board shall accept for credit, programs or activities conducted by the following organizations:
 - (a) The Speech-Language Hearing Association of the District of Columbia or similar speech-language hearing association of another state;
 - (b) The American Academy of Audiology;
 - (c) The American Speech-Language Hearing Association (ASHA) and its approved continuing education providers;
 - (d) An accredited provider of The Accreditation Council on Continuing Medical Education of the American Medical Association offering Category I continuing medical education;
 - (e) The International Association of Continuing Education and Training (IACET) and its authorized providers;
 - (f) A health care organization accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO); or
 - (g) The International Hearing Society.
- 10107.4 The Board may accept for credit the following activities:
 - (a) A course given at an accredited college or university;
 - (b) A seminar or workshop;
 - (c) An educational program given at a conference;
 - (d) In-service training;
 - (e) Serving as a presenter or speaker at a conference, seminar, workshop, or in-service training; or
 - (f) Publishing an article in a professional journal, a book or a chapter in a book, or a book review in a professional journal related to audiology or speech-language pathology.
- 10107.5 The Board may approve continuing education programs and activities that contribute to an applicant's or registrant's growth in professional competence in the practice of audiology or audiology assistance and which meet the other requirements of this section.

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10107.6	The Board shall not grant credit for work done in the course of an applicant's normal occupation or incident to the performance of his or her regular duties, such as teaching courses, research, or course preparation in the case of a teacher or professor.
10107.7	A renewal, reinstatement, or reactivation applicant shall have the burden of verifying whether a program is approved by the Board.
10107.8	A renewal, reinstatement, or reactivation applicant shall prove completion of required continuing education hours by submitting upon request the following information with respect to each program:
	(a) The name and address of the provider of the program;
	(b) The name of the program, its location, a description of the subject matter covered, and the names of the instructors;
	(c) The dates on which the applicant attended the program;
	(d) The hours of credit claimed; and
	(e) Verification by the course provider or accreditor of completion, by signature, stamp, or official transcript in the case of accreditors.
10108	CONTINUING EDUCATION CREDITS
10108.1	For the purposes of this chapter, one (1) credit hour shall mean sixty (60) minutes of learning time.
10108.2	The Board may grant credit for Board-approved activities as specified below:
	(a) The Board shall grant credit for a course given at an accredited college or university, provided that:
	(1) Each three (3) hour academic course constitutes thirty (30) hours of continuing education; and

- (2) For approved three (3) hour academic courses that are audited, two (2) continuing education credit hours shall be granted.
- (b) The Board may grant a maximum of six (6) continuing education hours per renewal period to an applicant who attends a pre-approved inservice education program.

- 10108.3 The Board may grant credit for serving as a presenter or speaker at a conference, seminar, workshop, or in-service training, or electronic or webbased course subject to the following restrictions:
 - (a) Hours granted pursuant to this section shall not exceed six (6) per renewal period;
 - (b) If an applicant has previously received credit in connection with a particular presentation, the Board shall not grant credit for a subsequent presentation unless it involves either a different subject or substantial additional research concerning the same subject;
 - (c) The presentation shall have been completed during the period for which credit is claimed and;
 - (d) The maximum amount of credit which may be granted for preparation time is twice the amount of the associated presentation time or twice the amount of contact hours awarded for participants.
- 10108.4 The Board may grant credit to an applicant for publication of an article in a professional journal or publication of a book or a chapter in a book or publication of a book review in a professional journal related to audiology or speech-language pathology, subject to the following:
 - (a) The Board may grant an applicant who is an author or sole editor of a published book eight (8) hours of continuing education credit per renewal period, if the book has been published or accepted for publication during the period for which credit is claimed and the applicant submits proof of this fact in the application;
 - (b) The Board may grant an applicant who is the sole author or co-author of a peer-reviewed published original paper four (4) hours of continuing education per renewal period; and
 - (c) The Board may grant an applicant who is sole author of a published book review one (1) continuing education hour of credit per renewal period.

10109 SCOPE OF PRACTICE

10109.1 No person may practice as an audiology assistant unless duly registered under this chapter and performing the duries of the profession under supervision of a licensed audiologist meeting the requirements of § 7811.7.

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- 10109.2 An audiology assistant may perform the following duties under general supervision of an audiologist:
 - (a) Scheduling, greeting, and escorting patients;
 - (b) Packaging and mailing earmold orders, device repairs, and factory returns;
 - (c) Performing inventories of equipment and supplies, including entering supply orders;
 - (d) Cleaning and maintaining hearing aids and other amplification devices;
 - (e) Troubleshooting and performing minor repairs to hearing aids, earmolds, and other amplification devices;
 - (f) Performing electroacoustic analysis of hearing aids and other amplification devices;
 - (g) Instructing patients in proper use and care of hearing aids and other amplification devices;
 - (h) Demonstrating alerting and assistive listening devices;
 - (i) Instructing patients in proper ear hygiene;
 - (j) Setting up and checking audiologic equipment;
 - (k) Preparing materials for ear impressions;
 - (1) Maintaining and restocking test and treatment rooms;
 - (m) Performing hearing and typanometric screenings on adults and children over six (6) years of age;
 - (n) Performing otoacoustic emission screening;
 - (o) Performing nondiagnostic otoscopy;
 - (p) Conducting audiologic and vestibular tests without interpretation;
 - (q) Performing universal newborn hearing screening tests;
 - (r) Performing infection control duties within the clinic or during service;
 - (s) Assisting patients in completing case history or other relevant forms

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- 10109.3 An audiology assistant may perform the following duties under the direct supervision of an audiologist:
 - (a) Assisting the audiologist in treatment programs:
 - (b) Performing cerumen management;
 - (c) Assisting the audiologist with hearing tests of pediatric patients;
 - (d) Taking ear impressions;
 - (e) Conducting pure-tone hearing screenings.
- 10109.4 An audiology assistant may not perform any functions reserved exclusively for an audiologist as enumerated in § 7811.1 of this title.
- 10109.5 An audiology assistant who has a current certification from the Council for Accreditation in Occupational Hearing Conservation (CAOHC) may perform the following functions under general supervision of an audiologist:
 - (a) Checking and calibrating audiometric instrumentation;
 - (b) Performing otoscopic screening and pure-tone threshold testing for the purpose of hearing conservation;
 - (c) Providing basic counseling to employees concerning test results and criteria for employee referral;
 - (d) Fitting and training of employees on personal hearing protection devices;
 - (e) Fitting testing of hearing protection devices;
 - (f) Assisting CAOHC course directors in conducting training courses;
 - (g) Providing employee hearing conservation education, training, and motivation;
 - (h) Recordkeeping;
 - (i) Generating periodic hearing conservation statistical reports.

10110 SUPERVISION OF AUDIOLOGY ASSISTANT

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- 10110.1 An audiologist supervising an audiology assistant shall retain full professional and ethical responsibility for the professional conduct and performance of the audiology assistant and shall delegate duties only as commensurate with the training, experience, and ability of the audiology assistant.
- 10110.2 A supervising audiologist shall verify that an audiology assistant holds a current, valid registration or is duly authorized to practice prior to the commencement of services.

Comment [PV2]: IF THERE IS A SUPERVISED PRACTICE REQUIREMENT OR SUPERVISED PRACTICE PENDING APPLICATION.

SHOULD THERE BE OTHER GUIDELINES FOR SUPERVISION? MAINTAINING SUPERVISION RECORDS?

7812 PRACTICE OF AUDIOLOGY BY STUDENTS

- 7812.1 A graduate student in audiology may practice audiology in the District if:
 - (a) The practice is part of an audiology educational program; and
 - (b) The graduate student practices only under the direct supervision of an audiologist licensed in the District of Columbia.
- 7812.2 This section shall not apply to an Au.D. student who is completing his or her one thousand eight hundred and twenty (1820) hours of supervised experience.
- 7812.3 A graduate student practicing audiology pursuant to this section shall identify himself or herself as a graduate student practicing under supervision at all times when practicing audiology.
- 7812.4 An individual serving as a supervisor for a graduate student in the District:
 - (a) Shall hold a valid District of Columbia license in audiology: and
 - (b) May not have been disciplined by a board within the previous five (5) years.
- 7812.5 Both the supervisor and the graduate student shall sign reports with their full name and credentials.
- 7812.6 A student may sign only with the highest degree earned.
- 7812.7 The title or designation of "graduate student" shall be placed after the

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student's name in the report.

- 7812.8 If electronic records only accept one (1) signature, the supervisor shall sign the electronic record.
- 7812.9 A supervisor shall not supervise more than two (2) full-time students per day in off-site placements from a university.
- 7812.10 A supervisor shall be personally responsible for each client the student evaluates and treats during the period of supervision and shall be subject to disciplinary action for any violation of the Act or this chapter by the student.

101XX STANDARDS OF CONDUCT

- 101XX.1 An audiology assistant or graduate student practicing as an audiology assistant shall adhere to the standards set forth in either the then-current Code of Ethics of the American Speech-Language-Hearing Association or the then-current Code of Ethics for the American Academy of Audiology.
- 101XX.2 An audiology assistant shall clearly identify himself or herself to patients or clients as an audiology assistant at all times.
- 101XX.3 An audiology assistant shall wear a name tag bearing the title "audiology assistant" while acting in a professional capacity and display his or her current registration in a conspicuous place in the office in which he or she is employed
- 101XX.4 An audiology assistant shall cease practice immediately if there is no audiologist providing current and active supervision.
- 101XX.5 An audiology assistant shall perform only those functions of the profession that are within his or her scope of competence, training, and experience.

ADD IDENTIFYING SELF AS ASSISTANT,?

10199 **DEFINITIONS**

- 10199.1 The definitions in section 4099 of this title are incorporated by reference into and are applicable to this chapter.
- 10199.2 As used in this chapter, the following terms shall have the meanings ascribed:

Act -- The District of Columbia Health Occupation Revision Act of 1985 ("Act"), effective March 25, 1986 (D.C. Law 6-99; D.C. Official Code § 3-1201.01 *et seq.)*

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Comment [PV3]: WHY "OR"? IF THEY DON'T CONFLICT, SHOULDN'T BOTH CODES APPLY?

Title 17

District of Columbia Municipal Regulations

Board – the Board of Audiology and Speech-Language Pathology, established by section 841 of the Audiology and Speech-Language Pathology Amendment Act of 2006, effective March 6, 2007 (D.C. Law 16-219; D.C. Official Code § 3-1208.41 (2007 Repl.)).

Direct supervision – supervision in which the supervisor is immediately available on the premises to the supervisee and within vocal communication of the supervisee either directly or by a communication device.

General supervision – supervision in which the supervisor is available to the supervisee, either in person or by a communications device.

Good cause – serious illness of the applicant, the death or serious illness of a member of the applicant's immediate family, or other cause sufficient to the Board.

Renewal period --

Supervised experience – the clinical fellowship year required for applicants for licensure with a Master's degree or Ph.D. degree, or the period of supervised practice during an Au.D. program.

Supervising audiologist – an audiologist who is qualified under § 7811.7 and is employing and supervising an audiology assistant.